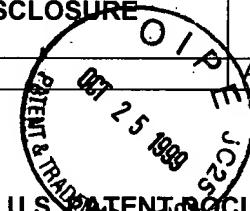


FORM PTO-1449	SERIAL NO. 09/370,696	CASE NO. 6270/26
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE August 9, 1999	GROUP ART UNIT 2858 2035
APPLICANT(S): Simon H. Lightbody		



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
TE	A1	RE31,774	Fletcher et al.		
TE	A2	4,077,061	Johnston et al.		
TE	A3	4,156,280	Griess		
TE	A4	4,240,149	Fletcher et al.		
TE	A5	4,345,311	Fielden		
TE	A6	4,365,302	Elms		
TE	A7	4,388,611	Haferd		
TE	A8	4,455,612	Girgis et al.		
TE	A9	4,459,546	Arrington et al.		
TE	A10	4,463,311	Kobayashi		
TE	A11	4,568,934	Allgood		
TE	A12	4,612,617	Laplace, Jr. et al.		
TE	A13	4,642,564	Hurley		
TE	A14	4,663,587	Mackenzie		
TE	A15	4,672,555	Hart et al.		
TE	A16	4,715,000	Premerlani		
TE	A17	4,783,748	Swarztrauber et al.		
TE	A18	4,794,369	Haferd		
TE	A19	4,837,504	Baer et al.		
TE	A20	4,839,819	Begin et al.		
TE	A21	4,878,142	Bergman et al.		
TE	A22	4,878,185	Brand et al.		
TE	A23	4,884,021	Hammond et al.		
TE	A24	4,901,221	Kodosky et al.		
TE	A25	4,914,568	Kodosky et al.		
TE	A26	4,979,122	Davis et al.		
TE	A27	5,017,860	Germer et al.		
TE	A28	5,059,896	Germer et al.		
TE	A29	5,061,890	Langini		
TE	A30	5,081,413	Yamada et al.		
TE	A31	5,122,735	Porter et al.		
TE	A32	5,132,610	Ying-chang		
TE	A33	5,151,866	Glaser et al.		
TE	A34	5,155,836	Jordan et al.		
TE	A35	5,212,441	McEachern et al.		
TE	A36	5,224,011	Yalla et al.		
TE	A37	5,224,054	Wallis		

EXAMINER	DATE CONSIDERED
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OCT 25 1999

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FORM PTO-1449		SERIAL NO. 09/370,696	CASE NO. 6270/26
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		FILING DATE August 9, 1999	GROUP ART UNIT 2635 2858
(use several sheets if necessary)		APPLICANT(S): Simon H. Lightbody	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
TE	A38	5,233,538	08/1993	Wallis	
TE	A39	5,243,536	09/1993	Bradford	
TE	A40	5,243,537	09/1993	Neumann	
TE	A41	5,245,275	09/1993	Germer et al.	
TE	A42	5,247,454	09/1993	Farrington et al.	
TE	A43	5,258,704	11/1993	Germer et al.	
TE	A44	5,262,715	11/1993	King et al.	
TE	A45	5,270,640	12/1993	Kohler et al.	
RE	A46	5,301,121	04/1994	Garverich et al.	
TE	A47	5,391,983	02/1995	Lusigman et al.	
TE	A48	5,414,812	05/1995	Filip et al.	
TE	A49	5,426,780	06/1995	Gerull et al.	
TE	A50	5,481,700	01/1996	Thuraisingham	
TE	A51	5,498,956	03/1996	Kinney et al.	
TE	A52	5,537,029	07/16/96	Hemminger et al.	
TE	A53	5,548,527	08/20/96	Hemminger et al.	
TE	A54	5,549,089	08/27/96	Snell et al.	
TE	A55	5,555,508	09/10/96	Munday et al.	
TE	A56	5,627,759	05/06/97	Bearden et al.	
TE	A57	5,631,843	05/20/97	Munday et al.	
RE	A58	5,650,936	07/22/97	Loucks et al.	
TE	A59	5,736,847	04/07/98	Van Doorn et al.	
TE	A60	5,828,576	10/27/98	Loucks et al.	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
TE	A61	"QUAD4® Plus and MAXsys™ Multifunction Electronic Meters and IEDS," On-Line User Guide, QUAD4 Plus/MAXsys Products User's Guide, Rev 1.01, pages 1-1 - 1-4; 1-13 - 1-15; 4-100, 4-107 - 4-108. (No DATE)

EXAMINER	T. Edwards	DATE CONSIDERED	6/1/01
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FORM PTO-1449	SERIAL NO. 09/370,696	CASE NO. 6270/26
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE August 9, 1999	GROUP ART UNIT 2655 2858
(use several sheets if necessary)	APPLICANT(S): Simon H. Lightbody	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
TE	A62	"QUAD4® Plus and MAXsys™ Multifunction Electronic Meters and IEDs", On-Line User Guide Product Definitions, QUAD4 Plus/MAXsys Products User's Guide, Rev 1.01, pages DEF-1.- DEF-13. (No Date)
TE	A63	"Protective Relaying Theory and Applications," edited by Walter A. Elmore, pp. 17-37 (1994).
TE	A64	"The world is changing. The old answers no longer apply," Schlumberger, Bulletin (No Page No Date)
TE	A65	"Series PM170 Powermeters," SATEC, Bulletin. (No Page No Date)
TE	A66	"System 270 Powermeter," SATEC, Bulletin. (No Page No Date)
TE	A67	"RPM090 Digital Transducer," SATEC, Bulletin. (No Page No Date)
TE	A68	"Series 290 Power Monitoring System," SATEC, Bulletin. (No Page No Date)
TE	A69	"PM290HD Powermeter With Harmonic Analysis," SATEC, Bulletin.
TE	A70	"AX-7 Analog Expander," SATEC, Bulletin.
TE	A71	"Application Software, Professional Systems For Energy Control And Management," SATEC, Bulletin. (No Page No Date)
TE	A72	"Power Monitoring And Analysis Systems," SATEC, Bulletin. (No Page No Date)
TE	A73	"PM - 295 Powermeter / Harmonic Analyzer," SATEC, Bulletin. (No Page No Date)
TE	A74	"PM 295 Power Monitoring System with Harmonic Analysis," SATEC, Bulletin. (No Page No Date)
TE	A75	"PM 170M Powermeter - with KVA Measurements," SATEC, Bulletin. (No Page No Date)
TE	A76	"Vector Electricity Meter with the Site Genie™ Monitor," General Electric, (No Page No Date)
TE	A77	"VECTRON® SVX Solid-State Polyphase Meters," Schlumberger, Bulletin 11314 (March 1996).
TE	A78	"MAXsys® 2510 Substation/High-End Direct Access Meter," Siemens, Bulletin.
TE	A79	"MAXsys® 2410 Direct Access Meter," Siemens, Bulletin.
TE	A80	"AIN ALPHA® Meter For IEC Standards," ABB Information Systems, Bulletin.
TE	A81	"ALPHA Stars™, National Wireless Communications for Remote Metering," ABB Information Systems, Bulletin.
TE	A82	"AIN Alpha, High Function Multi-Tariff Solid State Electricity Meter," ABB Network Partner, PB 42-280-1b, pp. 1-20.
TE	A83	"MARK-V Digital True RMS Energy Meter," TransData, Inc., Bulletin.
TE	A84	"MAXsys® - PSI," Siemens, Bulletin.
TE	A85	"MeterView™ Software Environment..., ...Instant Access to Maxsys™ Meter Information," Siemens, D00024D (1997).
TE	A86	"MAXsys® - Multi-Access Metering And Control," Siemens, Bulletin.
TE	A87	"MAXsys® For Energy Providers," Siemens, Bulletin.
TE	A88	QUAD4® Plus and MAXsys® Meters and IEDs, Siemens, QUAD2DSQ (1998).
TE	A89	The QUAD4® Plus Solid-State Meter," PSI, Bulletin.
TE	A90	"kV Telephone Modem," General Electric, Bulletin.
TE	A91	"kV A-Base Meters," General Electric, Bulletin.
TE	A92	"kV Class 320 Meters," General Electric, Bulletin.

EXAMINER T. Edwards	DATE CONSIDERED 6/1/01
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ITEM A69

FORM PTO-1449	SERIAL NO. 09/370,696	CASE NO. 6270/26
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APPLICANT(S): Simon H. Lightbody		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
TE	A93	"EnergyAxis™ Customer Site Metering System For On-Site Metering and Power Analysis," <i>ABB Information Systems</i> , Bulletin (1998).
TE	A94	"8500 ION® Direct Access Billing Meter," <i>8500 ION™, Power Measurement</i> , Bulletin (Aug. 11, 1998).
TE	A95	"7700 ION® 3-Phase Power Meter, Analyzer and Controller," <i>7700 ION™, Power Measurement</i> , Bulletin (April 22, 1998).
TE	A96	"American National Standard for Electric Meters - Code for Electricity Metering," <i>American National Standard</i> , Document ANSI C12.1 (1995).
TE	A97	"American National Standard for Electromechanical Watthour Meters," <i>The Institute of Electrical and Electronics Engineers, Inc.</i> , ANSI C12 (1987) (Revision of ANSI C12-10 1987).
TE	A98	"American National Standard for Electronic Time-of-Use Registers for Electricity Meters," <i>The Institute of Electrical and Electronics Engineers, Inc.</i> , ANSI C12 (Revision of ANSI C12-13-1985) (1991).
TE	A99	"American National Standard for Solid-State Electricity Meters," <i>The Institute of Electrical and Electronics Engineers, Inc.</i> , ANSI C12.16 (1991).
TE	A100	"American National Standard for Electricity Meters 0.2 and 0.5 Accuracy Classes," <i>American National Standards</i> , ANSI C12.20 (1998).
TE	A101	"ISO - Specification MTR1-96, Engineering Specification For Polyphase Solid-State Electricity Meters For Use On The ISO Grid," Exhibit A, pp. 1-42 (1997).
TE	A102	"Specifications For Approval Of Type of Electricity Meters, Instrument Transformers And Auxiliary Devices," <i>Consumer and Corporate Affairs Canada</i> .
TE	A103	"International Standard, Alternating current static watt-hour meters for active energy (classes 0,2 S and 0,5 S), <i>International Electrotechnical Commission</i> , second edition (1992).
TE	A104	"Meter-Mounting Devices, Industrial Products," <i>Canadian Standards Association</i> , C22.2 No. 115-M (1989).
TE	A105	"Alternating-Current-Electricity-Metering,-Electric-Power-Systems-and-Equipment," <i>Canadian Standards Association</i> , CAN3-C17-M (1984).
TE	A106	"International Standard, Amendment 1 to Publication 868 (1986), <i>International Electrotechnical Commission</i> , Modification 1 (1986).
TE	A107	"International Standard, Electromagnetic-Compatibility-(EMC)-Part 4: Testing and measurement techniques - Section 15: Flickermeter - Functional and design specifications," <i>International Electrotechnical Commission</i> , 61000 4-15 (1997).
TE	A108	"Measurement Guide for Voltage Characteristics - Electricity Product Characteristics-and Electromagnetic Compatibility," 230.02 <i>Norcomp, Unipede</i> (1995).
TE	A109	"Voltage characteristics of electricity-supplied-by-public distribution-systems," <i>BSI Standards</i> , 50160 (1995).
TE	A110	"Minutes of the 7th Meeting, Distribution Committee," <i>EEI</i> , 1998.

EXAMINER <i>J. Edwards</i>	DATE CONSIDERED <i>6/1/01</i>
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